07 - 20 - 0 \ Attorney's Docket No.: 17083-003002 / 1227B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlos F. Barbas III et al.

Art Unit : 1647

Serial No.: 09/586,625

Examiner: Shulamith H. Shafer

Filed : June 2, 2000 Conf. No.: 6568

Cust. No. : 20985

Title : LIGAND ACTIVATED TRANSCRIPTIONAL REGULATOR PROTEINS

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement, Form PTO-1449 (1 page), cited non U.S. patent reference, a check in the amount of \$180.00, and a return postcard for filing in connection with the above-identified application.

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The Commissioner is hereby authorized to charge any fees that may be due in connection with this paper or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully Mbmitted.

Reg. No. 33,779

Attorney Docket No. 17083-003002/1227B

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130

Telephone: (858) 678-4777 Facsimile: (202) 626-7796 email: seidman@fr.com

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 740121350 US

Date of Deposit February 16, 2007

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.19 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450. Alexandria, VA, 22313-1450.

Stephanie Seidman



Attorney's Docket No.: 17083-003002 / 1227B

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Carlos F. Barbas III et al. Art Unit: 1646

Serial No.: 09/586,625 Examiner: Joseph F. Murphy

Filed: June 2, 2000 Conf. No.: 6568

Cust. No. : 20985

Title : LIGAND ACTIVATED TRANSCRIPTIONAL REGULATOR PROTEINS

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

Because this Supplemental Information Disclosure Statement is after receipt of a first Office Action on the Merits of the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and a copy of the cited non U.S. patent document are provided herewith in connection with the above-captioned application.

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Stephanie Seithnan

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Applicant: Carlos F. Barbas III et al. Attorney's Docket No.: 17083-003002 / 1227B

Serial No.: 09/586,625 Filed: June 2, 2000

Page : 2 of 2

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the information, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97 (g and h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56 (b) exists.

Applicant respectfully requests that the Examiner review the foregoing information and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seld

Attorney Docket No. 17083-003002/1227B

Address all correspondence to:

Stephanie L. Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130 Telephone: (858) 678-4777

Facsimile: (202) 626-7796 email: seidman@fr.com

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| Substitute Form PTO-1449 (Modified) | U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 17083-003002 | Application No. 09/586,625 | |
|---|--|---------------------------------------|----------------------------|--|
| Information Disclosure Statement by Applicant (Use several sheets if necessary) | | Applicant Carlos F. Barbas III et al. | | |
| | | Filing Date June 2, 2000 | Group Art Unit | |

| U.S. Patent Documents Framiner Desig Document Publication Filing Date | | | | | | | |
|--|--------------|--------------------|---------------------|--------------|-------|----------|----------------------------|
| Examiner Initial | Desig. ID | Document Number | Publication Date | Patentee | Class | Subclass | Filing Date If Appropriate |
| | AA | 6,723,531 | 04/20/04 | Evans et al. | 435 | 69.1 | 03/16/98 |
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| Desig. | Document | Publication | Country or | | | Trans | lation | | | |
| ID | Number | Date | Patent Office | Class | Subclass | -Yes | No | | | |
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| Other Documents (include Author, Title, Date, and Place of Publication) | | | | | | |
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| Examiner | Desig. | | | | | |
| Initial | Initial ID Document | | | | | |
| | AB | Robbins, P.D., et al., "Viral vectors for gene therapy," Pharmacology & Therapeutics, 80:35-47, (1998). | | | | |
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| Examiner Signature | Date Considered |
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| EXAMINER: Initials citation considered. Draw line through citation if no next communication to applicant. | at in conformance and not considered. Include copy of this form with |